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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/707,593	12/23/2003	William J. Jetter	014033.000015	1592

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EXAMINER

IWARERE, OLUSEYE

ART UNIT	PAPER NUMBER
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3687

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/707,593	Applicant(s) JETTER ET AL.	
	Examiner OLUSEYE IWARERE	Art Unit 3687	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 23 December 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-65 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-65 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 23 December 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date <u>1/10/2008; 02/10/2006</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This communication is a First Office Action Non-Final rejection on the merits. Claims 1 – 65, as originally filed, are currently pending and have been considered below.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. **Claims 1 – 14, 18 – 31, 35 – 47 and 51 – 65 are rejected under 35 U.S.C. 102(e) as being anticipated by Praisner et al. (7,319,986).**

As per claims 1, 3, 10, 14, 21, 37 – 39, 44, 52, 53 59 and 60, Praisner discloses a system, method and computer-readable medium having computer-executable instructions performing a method to manage a supply chain, comprising:

a purchase order management module operable on a processor to electronically receive purchase order information from a buyer and to store and track information associated with each purchase order (fig. 1 depicts receiving purchase order information, storing and tracking);

an invoice and trade document management module to electronically store, aggregate and manage invoices and trade documents related to each purchase order and required for presentment and reconciliation of each purchase order (fig. 1 item 122 depicts reconciliation and fig. 8D depicts invoices);

a payment construction module to create a payable instrument in response to the purchase order information (fig. 1 depicts payment creation);

an agreement management module to warehouse, adjudicate and provide status reporting on payment conditions related to each purchase order (fig. 8B depicts and col. 34, line 66 – col. 35, line 21 discusses warehousing, adjudicating and providing status reporting); and

a collaboration and workflow module to support and manage workflow between the other modules and between the buyer and a seller (fig. 1 item 102 depicts a collaboration and workflow module).

As per claims 2 and 22, 54, Praisner further discloses, comprising a database associated with the purchase order management module to store and track information associated with each purchase order (fig. 1 block 120 depicts a database associated with the purchase order management module).

As per claims 4 and 23, Praisner discloses wherein the payment construction module is adapted to sort purchase orders by a criteria predefined by the buyer (fig. 8A item 806 depicts order management).

As per claims 5, 24 and 40, Praisner further discloses comprising a set of rules to control creation of each payable instrument (col. 33, line 51 – col. 34, line 20; discusses rules).

As per claims 6, 25, 41, 55 and 62, Praisner discloses wherein the payment construction module is adapted to support settlement under at least one of a binary condition, a qualified condition and a documentary condition (fig. 10A and col. 22, line 49 – col. 23, line 8; discuss settlement).

As per claims 7, 26, 42, and 56 and 61, Praisner discloses wherein the payable instrument comprises at least one of a documentary credit instrument and an open account instrument (col. 12, lines 13 – 24 discuss credit instruments).

As per claims 8 and 27, Praisner discloses wherein the payment construction module is adapted to transmit information to the purchase order management module to indicate which purchase orders are covered under a documentary credit instrument (fig. 1 depicts and col. 37, lines 30 – 57 discusses transmission).

As per claims 9, 28 and 43, Praisner discloses wherein the payment construction module is adapted to send documentary credit information to a service

provider to issue a documentary credit (col. 37, lines 30 – 57 discusses sending documentary credit information).

As per claims 11, 29 and 45 and 63, Praisner discloses wherein the agreement management module is adapted to adjudicate payment conditions by tracking whether any payment conditions have been discharged (col. 4, line 49 – col. 5, line 5; tracking discusses payment conditions).

As per claims 12, 30, 46, 57 and 64, Praisner discloses wherein the agreement management module is adapted to compare seller discharge information to payment conditions to determine if the payment conditions have been discharged (col. 4, line 49 – col. 5, line 5; comparing information).

As per claims 13, 31, 47, 58 and 65, Praisner discloses wherein the agreement management module is programmable to discharge payment conditions on at least one of an exact match comparison or predefined variances from an exact match (col. 8, line 51 – col. 9, line 15; discusses comparison).

As per claims 18 and 35, Praisner discloses wherein the invoice and trade document management module is adapted to receive invoice information from a seller (fig. 8D item 876 depicts receiving invoice information).

As per claim 19, Praisner discloses, wherein the invoice information is receivable via one of a printed format, facsimile and a communication network (fig. 1 depicts a communication network).

As per claims 20, 36 and 51, further comprising an image capture and key entry module to convert non-electronic information into predetermined electronic format for receipt and use by the invoice and trade document management module (fol. 5, lines 6 – 35 discusses conversion).

As per claims 38, 53 and 60, Praisner discloses wherein adjudicating any payment conditions comprises at least one of discharging any payment conditions, accepting any payment condition discrepancies or accepting any payment condition discrepancies with changes (fig. 2 depicts payment conditions).

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

Art Unit: 3687

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

5. Claims 15 – 17, 32 – 34 and 48 – 50 are rejected under 35 U.S.C. 103(a) as being unpatentable over Praisner (7,319,986), in view of Land et al. (7,194,431).

As per claims 15, 32 and 48, Praisner discloses the claimed invention but fails to explicitly further disclose comprising a negotiation tool to enable the buyer and seller to negotiate and document discrepancies or disputes.

Land teaches a method and apparatus for managing remittance processing within account receivables wherein the negotiation tool comprising a negotiation tool to enable the buyer and seller to negotiate and document discrepancies or disputes (col. 1, lines 6 – 12; discusses dispute management).

From this teaching of Land it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the dynamic payment cards and related management systems and associated methods of Praisner to include the negotiation tool taught by Land, in order to resolve problems associated with the process.

As per claims 16, 33 and 49, Praisner discloses the claimed invention but fails to explicitly disclose wherein the negotiation tool comprises a browser to negotiate disputes or discrepancies interactively and on-line.

Land teaches a method and apparatus for managing remittance processing within account receivables comprising a browser to negotiate disputes or discrepancies interactively and on-line (fig. 3 depicts a browser).

From this teaching of Land it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the dynamic payment cards and related management systems and associated methods of Praisner to include the browser taught by Land, in order to resolve problems associated with the process.

As per claims 17, 34 and 50, Praisner discloses the claimed invention but fails to explicitly disclose wherein the collaboration and workflow module comprises a digital rights management feature to manage rights to and ownership of electronic title documents.

Land teaches a method and apparatus for managing remittance processing within account receivables wherein the collaboration and workflow module comprises a digital rights management feature to manage rights to and ownership of electronic title documents (col. 12, lines 50 – 57; discusses ownership).

From this teaching of Land it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the dynamic payment cards and related management systems and associated methods of Praisner to include the ownership management feature taught by Land, in order to provide verification.

Conclusion

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Cheong (US 7006993 B1), which discloses a Method and apparatus for surrogate control of network-based electronic transactions, Cornelius (US 7069234 B1), which discloses a Initiating an agreement in an e-commerce environment, Ginter (US 5910987 A), which discloses a Systems and methods for secure transaction management and electronic rights protection, Heimermann (US 20020143692 A1), which discloses a Fully automated, requisition-driven, competing authorized suppliers, web site-based, real-time, reverse-auction, centralized e-procurement system for government, with bifurcated internal and external modules, requisition pooling, order formulation and management, consolidated in-bound shipment and distributed J.I.T. delivery, procurement-needs prediction, centralized catalog management and numerous additional features, Katz (US 20020178077 A1), which discloses a Method for automatically invoking a software module in response to an internal or external event affecting the procurement of an item, and Riviera (US 20020107752 A1), which discloses a System and method for integrating web-originated orders with backend business systems.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to OLUSEYE IWARERE whose telephone number is (571)270-5112. The examiner can normally be reached on M-Th.

Art Unit: 3687

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew S. Gart can be reached on (571)272-6790. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Elaine Gort/
Primary Examiner, Art Unit 3687

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